

## ***INSTRUCTIONS***

### ***For Obtaining a State ABC Transitional License***

- STEP 1.** Complete this application form. Be sure to list a daytime phone number and fax number in case we need to contact you.
- STEP 2.** Carefully read and sign both section D and section E.
- STEP 3.** **We do not accept cash!** Attach your nonrefundable processing fee by certified check, cashier check, or money order made payable to: **Kentucky State Treasurer**
- STEP 4.** Have you already run your intentions to apply for the license in the newspaper?
- STEP 5.** Have you already paid your fees for the permanent (regular) application to the Local and State ABC Offices?
- STEP 6.** If your "Local" and "State" ABC permanent (regular) applications for licenses are attached or if they have already been submitted you may now send this application for a Transitional license to the State ABC Frankfort office.
- STEP 7.** Before the Transitional License is issued, the State ABC Office will run a background check on you. Therefore, this transitional license may take approximately one or two weeks before it's approved.
- STEP 8.** When you received the approved Transitional License, please post it at the premises before you begin to operate.

Mail application and check to:

Commonwealth of Kentucky  
**OFFICE OF ALCOHOLIC BEVERAGE CONTROL**  
1003 Twilight Trail  
Frankfort, Kentucky 40601-8400

Telephone (502) 564-4850  
Fax (502) 564-1442

<http://abc.ky.gov>

## **TRANSITIONAL LICENSE APPLICATION**

**Office of Alcoholic Beverage Control  
1003 Twilight Trail  
Frankfort, Kentucky 40601-8400**

Site ID # \_\_\_\_\_

**(502) 564-4850 phone  
(502) 564-1442 fax**

*LEAVE BLANK - FOR ABC USE ONLY*

License # \_\_\_\_\_ \$ \_\_\_\_\_ Validating # \_\_\_\_\_ License # \_\_\_\_\_ \$ \_\_\_\_\_ Validating # \_\_\_\_\_

License # \_\_\_\_\_ \$ \_\_\_\_\_ Validating # \_\_\_\_\_ License # \_\_\_\_\_ \$ \_\_\_\_\_ Validating # \_\_\_\_\_

Malt Beverage Administrator's Approval \_\_\_\_\_ Date \_\_\_\_\_

Distilled Spirits Administrator's Approval \_\_\_\_\_ Date \_\_\_\_\_

**(A) Applicant's name - Legal name of person(s) or entity to be licensed** \_\_\_\_\_

**D.B.A. (Name of Business) (Assumed Name)** \_\_\_\_\_

**Address of premises to be licensed** \_\_\_\_\_

**Contact name** \_\_\_\_\_ **day phone** \_\_\_\_\_ **fax number** \_\_\_\_\_

**(B) Name the current license(s) is issued under** \_\_\_\_\_

**Current license number(s)** \_\_\_\_\_

**(C) 1. Are you applying for a:**

**Distilled spirits, wine, and beer transitional license?** ..... ☐ Yes ☐ No

**Wine and beer transitional license?** ..... ☐ Yes ☐ No

**Beer only transitional license?**..... ☐ Yes ☐ No

**2. If question # 1 is answered "Yes" you must meet the following conditions required by KRS 243.045 to receive a transitional license. The Malt Beverage and Distilled Spirits administrators may issue a transitional license during the time a transfer of an ongoing business is being processed if you meet all of the following conditions:**

**a. Have you, the purchaser, advertised your intention to apply for a license(s) in the legal section of the newspaper having the largest circulation for the county or city where your premises will be located pursuant to KRS 243.360?**..... ☐ Yes ☐ No

**b. Have you, the purchaser, filed an application for a permanent (regular) license(s) with the appropriate local alcoholic beverage authority and with the state Office of Alcoholic Beverage Control?**..... ☐ Yes ☐ No

**c. Have you, the purchaser, paid all application fees for the permanent license(s)?**..... ☐ Yes ☐ No

**(D)** I do hereby solemnly swear or affirm that all statements contained in this application and all attachments are true and correct to the best of my knowledge, information, and belief. I incorporate this application into my basic application for a Kentucky alcoholic beverage license. I understand I may not begin to operate with alcohol activity until the Kentucky ABC Office has issued my Transitional License. I further swear or affirm I shall abide by all state and local statutes, regulations, and ordinances relating to the manufacture, sale, and use of and trafficking in alcoholic beverages.

**Signature of Applicant** \_\_\_\_\_ **Title** \_\_\_\_\_ **Date** \_\_\_\_\_

**(E)**  
I do hereby solemnly swear or affirm that I have filed my local application for a permanent (regular) license(s), a certified copy of my newspaper affidavit of publication and the local license fee with the proper local Alcoholic Beverage Control authorities on this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_.

**Signature of Applicant** \_\_\_\_\_ **Title** \_\_\_\_\_ **Date** \_\_\_\_\_

**You may now forward this application, any and all attachments, and your state processing fee to the Office of Alcoholic Beverage Control in Frankfort, Kentucky**

## **TRANSITIONAL LICENSE APPLICATION**

**Office of Alcoholic Beverage Control  
1003 Twilight Trail  
Frankfort, Kentucky 40601-8400**

Site ID #

**(502) 564-4850 phone  
(502) 564-1442 fax**

### **TYPE OF LICENSES & PROCESSING FEES**

Attach a certified check, cashier check, or a money order for your license fees.

**NO CASH!**

<b>LICENSE TYPE</b>	<b>PREFIX</b>	<b>✓</b>	<b>PAY THIS AMOUNT</b>
<input type="checkbox"/> <b>Transitional license (KRS 243.045) Distilled Spirits &amp; Wine</b>  A nonrefundable fee shall be charged to process each new transitional license pursuant to KRS 243.030(44)	<b>TRAN-LW</b>	<input type="checkbox"/>	<b>\$50</b>
<input type="checkbox"/> <b>Transitional license (KRS 243.045) Beer (Malt Beverages) only</b>  A nonrefundable fee shall be charged to process each new transitional license pursuant to KRS 243.040(16)	<b>TRAN-B</b>	<input type="checkbox"/>	<b>\$50</b>
<b>TOTALS:</b>			

If the above requirements are met, the state administrators of Malt Beverages and Distilled Spirits, as appropriate, shall have the discretion to issue a transitional license with a term of up to sixty (60) days, plus one (1) thirty (30) day extension period, to the purchaser for a nonrefundable processing fee set forth in KRS 243.030 and 243.040. All transitional licenses immediately expire upon the issuance to the purchaser of one (1) or more permanent licenses. Upon completion of the sale of the business, the purchaser shall not operate the business on the seller's license. The transitional license shall not be transferable or used for an application to move a business from one (1) location to another location.